



SEP 17 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/858.145	05/14/2001	Thomas Prohaska	501009.01

27076
DORSEY & WHITNEY LLP
SUITE 3400
1420 FIFTH AVENUE
SEATTLE, WA 98101

CONFIRMATION NO. 2191

FORMALITIES LETTER



OC000000006295087

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1346.
 - **\$1026** for **57** total claims over 20.
 - **\$320** for **4** independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2186.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

00000001	00000042	09858145	355.00	OP
00000002			160.00	OP
00000003			513.00	OP
00000004			65.00	OP



#3

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

September 13, 2001
Date

Stephanie Jansen
Stephanie Jansen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Daniel Prohaska and
Thomas Prohaska

Attorney Docket No.: 501009.01

Serial No. : 09/858,145

Group Art Unit : 2161

Filed : May 14, 2001

Examiner : Not yet assigned

Title : THIRD PARTY PAYOR METHOD AND SYSTEM FOR DISTRIBUTING
FINANCIAL BENEFITS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated July 13, 2001, please find enclosed a Declaration and Power of Attorney and copy of Notice to File Missing Parts for the above-identified application.

Also enclosed is a General Authorization Under 37 C.F.R. § 1.136(a)(3).

The fees have been calculated below after taking into consideration Applicants' small entity status:

The fees have been calculated as follows:

Basic Fee	\$ 355
Total Claims (77, 57 extra)	513
Independent Claims (7, 4 extra)	160
Missing Parts Surcharge	65
TOTAL	<u>\$ 1,093</u>

Enclosed is a check in the amount of \$1,093 for the requisite fees. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.
Registration No. 46,160

MWR:sj

Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- Declaration and Power of Attorney
- Copy of Notice to File Missing Parts
- Application Data Sheet
- General Authorization

1420 Fifth Avenue, Suite 3400
Seattle, WA 98101
Tel: (206) 903-8800
Fax: (206) 903-8820

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#5

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

September 13, 2001
Date

Stephanie Jansen
Stephanie Jansen

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Daniel Prohaska and
Thomas Prohaska

Attorney Docket No. : 501009.01

Serial No. : 09/858,145

Group Art Unit : 2161

Filed : May 14, 2001

Examiner : Not yet assigned

Title : THIRD PARTY PAYOR METHOD AND SYSTEM FOR DISTRIBUTING
FINANCIAL BENEFITS

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Box Missing Parts
Commissioner of Patents
Washington, D.C. 20231

Sir:

With respect to the above-identified application, the Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1266.

Respectfully submitted,
DORSEY & WHITNEY LLP



Mark W. Roberts, Ph.D.
Registration No. 46,160

WR:sj

Enclosures:

Postcard

1420 Fifth Avenue, Suite 3400
Seattle, Washington 98101-4010
Tel: (206) 903-8800
Fax: (206) 903-8820

**FEE TRANSMITTAL SHEET
(FOR FY 2001)**

Complete if Known

Application No.	09/858,145
Filing Date	May 14, 2001
First Named Inventor	Daniel Prohaska
Group Art Unit	2161
Examiner	Not yet assigned
Atty. Docket Number	501009.01

METHOD OF PAYMENT (Check One)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
101	710	201	355	<input checked="" type="checkbox"/> Utility Filing Fee
106	320	206	160	<input type="checkbox"/> Design Filing Fee
108	710	208	355	<input type="checkbox"/> Reissue Filing Fee
114	150	214	75	<input type="checkbox"/> Provisional Filing Fee

Subtotal (1) \$ 355

2. EXTRA CLAIM FEES

Current Claims	Prior	Extra	Fee	Fee Paid
Total	77	- 20	= 57 x \$ 9	= \$ 513
Ind.	7	- 3	= 4 x \$ 40	= \$ 160
Multiple Dependent Claims x \$ = \$				
Subtotal (2)				\$ 673

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent Claim
109	80	209	40	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$ 65
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
115	110	215	55	Extension for reply within first month	\$
116	390	216	195	Extension for reply within 2 nd month	\$
117	890	217	445	Extension for reply within 3 rd month	\$
118	1,390	218	695	Extension for reply within 4 th month	\$
128	1,890	280	945	Extension for reply within 5 th month	\$
120	310	220	155	Filing a brief in support of an appeal	\$
121	270	260	135	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	10	240	55	Petition to revive - unavoidable	\$
141	1,240	241	620	Petition to revive - unintentional	\$
142	1,240	242	620	Utility/Reissue issue fee (+ advance copies)	\$
143	440	243	220	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	710	279	355	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
Subtotal (3)					\$ 65

Total Amount of Payment: \$ 1093

Submitted by:

Name: Mark W. Roberts, Ph.D.

Reg. No.: 46,160

Telephone: (206) 903-8728

Signature: *Mark W. Roberts*

Date: *Sept 13, 2001*